AML/CIP Disclosure

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person or company who opens an account with Securitize Markets, LLC.

Types of Information You Will Need to Provide:

When you open an account, your firm is required to collect information such as the following from you:

- Your name
- Date of birth
- Residential address
- Identification number (SSN, passport, etc.)

U.S. Citizen: taxpayer identification number (Social Security number or employer identification number)

Non-U.S. Citizen: taxpayer identification number, passport number, and country of issuance, alien identification card number, or government-issued identification showing nationality, residence, and a photograph of you. You may also need to show your driver's license or other identifying documents.

A corporation, partnership, trust or other legal entity may need to provide other information, such as its principal place of business, local office, employer identification number, certified articles of incorporation, government-issued business license, a partnership agreement, or a trust agreement.

U.S. Department of the Treasury, Securities and Exchange Commission and Financial Industry Regulatory Authority rules already require you to provide most of this information. These rules also may require you to provide additional information, such as your net worth, annual income, occupation, employment information, investment experience and objectives, and risk tolerance.

If Your Identity Cannot Be Verified

Your firm may not be able to open an account or carry out transactions for you. If your firm has already opened an account for you, they may have to close it.

We thank you for your patience and hope that you will support the financial industry's efforts to deny terrorists and money launderers access to the United States’ financial system.